(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 24 January 2002 (24.01.2002)

PCT

(10) International Publication Number WO 02/05921 A1

(51) International Patent Classification7: B01D 9/00. C11B 7/00, 15/00, A23D 7/05

(21) International Application Number: PCT/EP01/08022

(22) International Filing Date: 11 July 2001 (11.07.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0017626.3 18 July 2000 (18.07.2000) GB 00204709.0 22 December 2000 (22.12.2000) EP

- (71) Applicant (for all designated States except AG, AU, BB, BZ, CA, CY, GB, GD, GH, GM, IE, IL, IN, KE, LK, LS, MN, MW, MZ, NZ, SD, SG, SL, SZ, TT, TZ, UG, ZA, ZW): UNILEVER N.V. [NL/NL]; Weena 455, NL-3013 AL Rotterdam (NL).
- (71) Applicant (for AG, AU, BB, BZ, CA, GB, GD, GH, GM, IL, KE, LK, LS, MN, MW, MZ, NZ, SD, SG, SL, TT, TZ, UG, ZA, ZW only): UNILEVER PLC [GB/GB]; Unilever House, Blackfriars, London, Greater London EC4P 4BQ (GB).
- (71) Applicant (for IN only): HINDUSTAN LEVER LTD [IN/IN]; Hindustan Lever House, 165-166 Backbay Reclamation, 400 020 Mumbai (IN).
- (72) Inventors: JANSSEN, Jo; Unilever Research Vlaardingen, Olivier van Noortlaan 120, NL-3133 AT Vlaardingen

(NL). BLINDT, Renoo, Avinash; Unilever Research Colworth, Colworth House, Sharnbrook, Bedfordshire MK44 1LQ (GB). PATRICK, Maria; Unilever Research Colworth, Colworth House, Sharnbrook, Bedfordshire MK44 1LQ (GB). ARENDS, Berend, Jan; Unilever Research Vlaardingen, Olivier van Noortlaan 120, NL-3133 AT Vlaardingen (NL).

- (74) Agent: SIKKEN, Antonius, H., J., M.; Unilever NV, Patent Department, Olivier van Noortlaan 120, NL-3133 AT Vlaardingen (NL).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR. LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CRYSTALLISATION PROCESS USING ULTRASOUND

(57) Abstract: The present invention relates to a process for the crystallisation of a solid phase from a liquid, characterised in that the liquid during crystallisation is subjected to ultrasound in the absence of transient cavitation. In particular the liquid is sonicated under such conditions of time and frequency that nucleation of stable crystals in the liquid is induced without exceeding the cavitation threshold and the occurrence of transient cavitation and the accompagnying flavour deterioration is avoided. The liquid preferably is a triglyceride oil such as a vegetable oil or animal fat, e.g. liquefied milk fat.

WO 02/05921

1

5

10

CRYSTALLISATION PROCESS USING ULTRASOUND

PCT/EP01/08022

The present invention relates to a process where a liquefied or dissolved substance is crystallized from a melt or a solution while exposing it to ultrasound. A triglyceride fat (three fatty acid residues connected to a glycerol backbone) in particular is the subject of the present crystallisation process.

- 15 The triglyceride fats used for the manufacture of food compositions often are desired to show a specific melting behaviour. Fats as obtained from natural sources usually do not have suitable melting properties. Therefore they have to be subjected to a modification treatment. Fat
- 20 fractionation is such a modification treatment. Fat fractionation consists of the physical separation of a triglyceride mixture into two or more fractions with different melting or solubility ranges. "Wet" fractionation comprises dissolving the triglyceride mixture in a hot
- 25 organic solvent (e.g. hexane) and then cooling it slowly until a part (fraction) of the fat crystallizes from the solution.
 - Alternatively, "dry" fractionation does not make use of a solvent and comprises cooling a liquid fat slowly.
- Optionally a triglyceride mixture is first fully liquefied if it is solid. The fat fraction with the highest melting range will crystallize first during cooling.
- The final stage of both wet and dry fractionation is separation of the crystallized ("stearin") fraction and the 35 still liquid ("olein") fraction by filtration.

Dry fractionation is the preferred option when a "nonchemical" modification treatment is desired. For dairy fats 5 it is the only acceptable option in terms of retaining flavour quality. However, dry fractionation is a less efficient and controllable method than wet fractionation (Ref.1).

The filter cake resulting from wet fractionation may

contain as little as 2 wt.% entrapped liquid fraction (also denoted as 98% SE (separation efficiency)). The good result is due to a more favourable crystal morphology and to washing the crystallized fraction with clean solvent. By contrast, the solids content in the cake resulting from a

standard dry fractionation process typically is at most

about 60% (60% SE), the remaining 40% being entrapped olein.

Crystal habit modifiers (CHM's) when added to the melt

modify the crystal morphology such that more compact

20 crystals may be produced which can be better separated from the liquid olein phase. The use of CHM's may increase the SE to about 80%, but at the expense of a much increased process time. CHM's slow down both nucleation and crystal growth. Moreover, for the removal of the CHM's from the desired fat fractions additional post-processing is necessary.

Sonocrystallisation is the use of ultrasound for influencing the crystallisation of liquids, either melts or solutions. Ultrasound in common language is sound characterized by a frequency of about 20 kHz and more, extending even into the MHz range. Most applications use ultrasound in the range 20 kHz - 5 MHz.

30

The >20 kHz frequency for defining ultrasound is rather arbitrary and is historically related to the average perception limit of the human ear. Within the context of the present specification such perception limit is irrelevant from a technical point of view. The benefits of

- the present invention become manifest as well with frequencies well below 20 kHz. In the context of the present specification ultrasound is defined as sound with a frequency of 10 kHz up to 10 MHz.
- 10 Since 1927 it is known that by exposing supercooled melts or supersaturated solutions of various substances to ultrasound the nucleation and/or the growth of crystals is remarkably influenced. The effect, sonocrystallisation, was first observed when crystallizing a supersaturated
- thiosulfate solution. Since then sonocrystallisation has been studied in many other systems. A particular aspect of sonocrystallisation is sononucleation. It deals with the initiation of crystal formation, has been studied extensively with sugar and is applied since the late 50-
- ties. Sonocrystallisation of supercooled water, supercooled metal melts and supersaturated solutions of various inorganic materials have received a lot of attention in the 50-ties and 60-ties, particularly in Russia.
- The crystallisation process can be divided into two stages: crystal nucleation and crystal growth. In the nucleation stage submicroscopic crystal nuclei are formed which develop into larger crystals during the subsequent growth stage. With homogeneous nucleation the crystals are formed directly from the liquid. Heterogeneous nucleation is
 - directly from the liquid. Heterogeneous nucleation is nucleation mediated by foreign particles already present in the liquid. Secondary nucleation is nucleation mediated by pre-existing crystals. It is believed that the process of the present invention predominantly affects homogeneous
- 35 nucleation.

Benefits of sonocrystallisation reported in literature include:

Faster nucleation which is fairly uniform throughout the Relatively easy nucleation of materials for which Generation of smaller, purer and more uniform crystals. wenerature dealing with sonocrystallisation see the WO 02/05921 reviews e.g. of Kapustin (Ref.2) and Hem (Ref.3). When a liquid is exposed to ultrasound, microscopic gas/vapour bubbles are formed which show a dynamic yan varour behaviour. One activity of such ultrasound-Already at relatively low sound intensities the bubbles do induced bubble behaviour is denoted as cavitation. 10 not perish but exhibit stable volume and/or shape OSCILIALIONS. "NON-inertial" cavitation. When the ultrasound "stable" or "non-inertial" cavitation. nor perion but exhibit scape of cavitation is denoted as oscillations. This type of cavitation is denoted. intensity is increased and exceeds a certain limit, the Interestry to thresholds the nature of cavitation thresholds dramatically which results in the hubbles becoming unstable. Within a fraction of a sound cycle they show unstable. Within a fraction of a sound cycle they show rapid growth followed by a violent collapse.

gas bubbles produce very high pressures and temperatures gas pupples produce very migh pressures and Lemperatures and locally in the bubble as well as a high pressure in the liquid layer surrounding the bubble (see also Hem, liquid layer surrounding the bubble (see also Hem, liquid liqui Cavitation which shows this violent bubble behaviour is Cavitation which shows this violent pupple penaviour is any transient or "inertial" cavitation (ref. 5). By denoted as "transient" or many ultrasound users the terms "cavitation" and "transient

cavitation" are used without discrimination. According to general scientific consensus which has According to general scientific consensus - which has the physical ref. 4 and 8) - the physical ref. 4 and 8 - the p supra).

persisted uncli now (see e.g. rel. and of the benefits mechanism underlying sonocrystallisation and the mystroate mechanism underlying sonocrystallisation and the benefits mechanical unicertying something from it are ascribed to the occurrence of resulting from it are

5 transient cavitation. The prejudice tells that in the absence of transient cavitation the benefits of sonocrystallisation even will not be manifested.

After the 60-ties the scientific attention for sonocrystallisation seems to have decreased. No fundamentally new insights in the believed underlying cavitation mechanism have been reported. However, the technological development and application of ultrasound for the crystallisation of different materials continued.

15

20

25

30

35

10

A few patent applications relate to sonocrystallisation of edible fats. WO 92/20420 describes a method and a device for the control of solidification in liquids. The liquid to be solidified is subjected to inter alia ultrasonic cavitation in order to control the steps of nucleation and/or crystal growth of the solidification process. In conformity with prevailing views the ultrasonic conditions desired for nucleation induction are chosen such that transient cavitation results which implies high intensity ultrasound.

EP 765605 deals with the effect of ultrasonic treatment on fat nucleation. It describes a method for accelerating the polymorphic transformation of edible fat compositions. Such compositions when undercooled by at least 4°C are exposed to ultrasonic energy for a time and at a frequency sufficient to induce nucleation of stable polymorph crystals without exceeding the melting point of those crystals. Typical fats to be treated by this method are butter fat and the fats used in ice cream, chocolate, margarine and yogurt.

PCT/EP01/08022 WO 02/05921

5 EP 765606 describes a method for retarding fat blooming on chocolate and on other confectionery fat compositions comprising cocoa butter. The method comprises undercooling the molten fat by at least 3°C below the melting point of the β -polymorph crystal. By exposing it to an effective 10 amount of ultrasonic energy stable crystals are generated.

In those patents cavitation is presented as the evident cause of the enhanced nucleation and the changed crystal morphology.

15

20

25

30

Traditional sonocrystallisation, however, has shown also serious drawbacks. Sonocrystallisation may trigger sonochemical reactions some of which are believed to cause production of free radicals. Triglyceride fats, especially unsaturated oils, are very susceptible to oxidation damage caused by decomposition of lipo(hydro)peroxides formed by free readicals. The resulting off-flavour and off-taste has become a decisive factor preventing the wide use of sonocrystallisation for edible unsaturated fats. A small flavour defect in the predominantly saturated chocolate fats as exemplified in the patents above is hardly noticed and even less when incorporated in chocolate products. Skilled fat chemists have persisted in believing that sonocrystallisation of an unsaturated edible fat is impossible without adversely affecting its taste and smell.

SUMMARY OF THE INVENTION

35 We have found that the beneficial effects of fat sonocrystallisation being necessarily related to transient cavitation are based on a prejudice.

5 The present inventors have found that sonocrystallisation can considerably enhance the nucleation rate of fat crystallisation also when applied in the absence of transient cavitation. Adverse sonochemistry with its flavour spoiling effects does not occur. A major accomplishment was the significant improvement of the separation efficiency of a dry fractionated oxidation sensitive fat without the expected oxidation damage and

without adversely affecting the taste and smell of the

obtained fat fractions (see example 4).

15

20

Generally, the present invention provides a process for the crystallisation of a solid phase from a liquid which liquid is subjected to ultrasound, where the exposure to ultrasound is at such conditions that transient cavitation is absent and for a time and at a frequency sufficient to induce nucleation of stable crystals in the liquid.

DESCRIPTION OF THE FIGURES

25

Fig.1. Is a diagram showing various applications of high power ultrasound, ranging (along the Y-axis) from low to high sound intensity and (along the X-axis) from relatively low frequencies to high frequencies.

30

- Fig. 2. Shows an experimental ultrasonic vessel component assembly where various conditions which determine cavitation can be varied.
- Fig.3. Is a common mass spectograph showing characteristic peaks of sonicated and non-sonicated sunflower seed oil samples.

- Fig.4. Depicts the time/temperature profiles of two fat blend samples during cooling.
- Fig. 5. Shows for a sonicated oil sample the single hydrophone signal at 1.5 MHz frequency and at 1.5 W/cm2 intensity where besides the peak of the fundamental frequency no peaks of harmonics are visible. This hydrophone view is characteristic for the absence of transient cavitation.
- Fig.6. Shows for a sonicated oil sample, in contrast to fig.5, the onset of subharmonics at 1.5 MHz where the sound energies have increased to such extent that the cavitation threshold has been exceeded.

20

DETAILS OF THE INVENTION

Generally, transient cavitation does not occur at low 25 ultrasound intensities. When the sound intensity is increased, eventually the transient cavitation threshold will be exceeded. As is discussed in several sources (see e.g. refs. 7 and 9), the occurence of transient cavitation depends primarily on the intensity of the sound energy but also on several other factors. The frequency of the 30 ultrasound, the temperature and viscosity of the liquid, the amount of dissolved gas, and the presence of surfaceactive substances affecting the surface tension of the bubbles are the most important secondary factors. Fig. 1 illustrates the zones where for the various applications of 35 ultrasound transient cavitation is likely to occur. The Xaxis shows the sound frequency and the Y-axis the sound intensity. For applications situated in the top right

corner transient cavitation is always present, for applications shown in the bottom left corner cavitation is always absent. A generally applicable and sharply defined borderline for distinguishing the intensity threshold can not be given. However, in an operational situation with a 10 chosen frequency sound intensities where transient cavitation will not occur can be easily found with some trials. As will be discussed below for each operational situation indicators are available with which it is possible to distinguish wether sonication of a liquid finds place in the presence or in the absence of cavitation. With 15 the colloquial expression "subcavitational conditions" when used for sonication, the substantial absence of transient cavitation throughout the whole volume of crystallizing liquid is meant.

20

A practical indicator for the absence of transient cavitation is the value of the mechanical index (MI) of the actual ultrasound generating system. The MI is defined as

$$MI = (p_{NEG}[MPa]) / \sqrt{f[MHz]}$$

25 where $p_{NEG}[MPa]$ is the amplitude of the acoustic pressure of the ultrasound field (the pressure amplitude) and f[MHz] is the ultrasound frequency. The MI is used as a risk indicator for indicating the worst-case likelihood of occurring inertial cavitation. It has been adopted by the 30 American Institute of Ultrasound in Medicine as a real-time output to estimate the potential risk of cavitation so that it can be avoided during diagnostic in vivo ultrasound scanning (ref. 5). According to Apfel and Holland (ref.7) transient cavitation does not occur when the MI of the 35 applied system does not exceed the threshold value 0.7. Hence, frequency and pressure amplitude of the ultrasound preferably is chosen such that said threshold value is not

5 exceeded. Since the sound intensity (I) is related to the pressure amplitude p_{NEG} according to the function $I = p_{NEG}^2 / 2\rho c$

The MI based threshold indicator is meant to distinguish riskless, medically safe sonication conditions from conditions where dangerous transient cavitation might, but not necessarily will occur. It precisely indicates the absence of transient cavitation, but less precisely indicates the presence of transient cavitation.

An alternative common and practical way for detecting the presence of transient cavitation is the observation of "sonoluminescence", which is the emission of very short light flashes caused by collapsing cavitation bubbles in the presence of certain chemicals (ref.6). The method is not preferred, however, for clearly establishing the absence of transient cavitation.

25

Most suitably, however, the occurrence of transient cavitation can be detected by monitoring with a hydrophone the sound radiated by an ultrasonication cell. The hydrophone is a device which transforms sound energy emitted from a sonication cell into oscilloscope views. The man skilled in the art of reading such views, will easily recognize the onset of transient cavitation by the appearance of peaks of characteristic harmonics and subharmonics and eventually the appearance of "noise" which

belongs to full cavitation. The harmonics and sub-harmonics result from the non-linear volume oscillations of strongly driven cavitation bubbles. The shock waves produced by imploding bubbles become visible because they create broadband pulses in the frequency spectrum. The superposition of many such signals from all bubble implosions generated by a cavitating sound field gives rise to a broad-band "noise" signals pattern. Hence, such noise pattern points to the many violent bubble collapses which are characteristic for transient cavitation. By contrast, bubble oscillations during stable, non-transient cavitation do not show a noise pattern in the hydrophone view (ref. 9).

The sonocrystallisation process of the present invention employs such low intensity ultrasound that a hydrophone, when detecting sound radiated from the ultrasound exposed liquid, shows a signals pattern which is free from broadband cavitation noise.

20

25

30

35

A preferred embodiment of the present invention is characterized by the ultrasound intensity being at such low level that a hydrophone when detecting sound radiated from the ultrasound exposed liquid shows a view with a main signal corresponding with the main radiation frequency and a further signal corresponding with the first subharmonic frequency where the intensity peaks ratio of the further signal and the main signal, the peaks ratio $A_{\rm S}/A_{\rm F}$, is < 0.5.

Most preferably the ultrasound intensity is at such low level that a hydrophone when detecting sound radiated from the ultrasound exposed liquid shows a view with a single signal corresponding with the main radiation frequency without substantially showing additional signals

5 corresponding with subharmonics frequencies.

It should be noted that the claimed condition "In the absence of transient cavitation" includes conditions with the occasional occurrence of transient cavitation. Such occasional cavitation does not give rise to the noise pattern as detectable by a hydrophone and equally will not have an adverse effect on the sensoric properties of the treated fat.

It should be further noted that the intensity of the energy radiating from an ultrasound probe is fading away with an increasing distance from the energy source. At a relatively large distance from the probe cavitation is always absent. In a large volume of liquid cavitation may occur near the ultrasone probe while at the same time cavitation is absent at remote places of the same liquid. Therefore the criterion of the present invention is that transient cavitation is absent throughout the whole volume of the sonicated liquid.

25

30

35

10

Processing conditions other than the ultrasound intensity such as time and temperature and frequency as mentioned before can easily be optimized by the skilled person by some trials. It has been found, e.g., that for ultrasound crystallisation of anhydrous milk fat the intensity optimum is just below the cavitation threshold (example 4). Generally, a too long exposure of the crystallized fat to ultrasound may cause a collapse of the crystal structure. Sonocrystallisation is particularly effective when cooling has proceeded so far that the system has become supersaturated.

- In principle, the present invention is suitable for the sonocrystallisation of all kinds of liquids. It has been found to be particularly useful for sonocrystallisation of triglyceride oils either being of vegetable or of animal origin or being a mixture of both. Preferably, the
- triglyceride oil is of vegetable origin and is selected from the group consisting of rapeseed oil, palmkernel oil, sunflower oil, groundnut oil, mustard oil, safflower oil, sesame oil, corn oil, soybean oil, cottonseed oil, linseed oil and olive oil. Oils having an animal origin include
- 15 marine oils and milk fat. All those fats are more or less unsaturated and are susceptible for adverse sonochemistry and flavour deterioration when treated by traditional sonocrystallisation.
- Some fats are solid at ambient temperature and have to be
 liquefied by heating before a dry fractionation process can
 be carried out. Most of the mentioned vegetable fats are
 liquid and do not need an initial liquefying step.
- Preferably the fats are unmodified, but also modified fats 25 such as hydrogenated fats or fats which have been subjected to interesterification will benefit from the present invention.
- A preferred embodiment of the present invention is a process for fractionating a triglyceride fat, which comprises the steps of:
 - a. when the fat is solid, heating the triglyceride fat until no substantial amount of solid triglyceride fat is present in the oil,
- 35 b. allowing the triglyceride oil to cool and to crystallize resulting in a solid stearin fraction and a liquid olein fraction,

5 c. recovering the stearin fraction by separating it from the olein fraction, characterised in that during step b. the oil is exposed to ultrasound in the absence of transient cavitation.

10 A typical vessel suited for batch fractionation is equipped with proper means for heat exchanging, for stirring the vessel content, for applying ultrasound energy and for monitoring the occurrence of cavitation. It goes without saying that alternative equipments can be arranged with devices which equally will allow the invention to be carried out. The sonication vessel could be filled via a pre-cooling unit; the sonication being started either in that unit or in the tube conducting the liquid to be crystallized to the main crystallisation vessel.

20

25

30

Other embodiments of the invention relate to processes for the preparation of edible emulsion spreads which may be either water continuous or fat continuous. The most common spreads such as margarine have a continuous fat phase and a dispersed aqueous phase. Such spreads are traditionally prepared by passing a mixture of the aqueous phase and the oil phase through a series of one or more scraped-surface heat exchangers and pin stirrers. The oil phase of those mixtures is eventually crystallized by cooling under such shear that a plastic W/O-emulsion is obtained in which a lattice of fine fat crystals provides the desired consistency and stabilizes the dispersed aqueous phase.

Alternatively the process of spread preparation may start

with a continuous aqueous phase emulsion and includes a

phase inversion step in order to impart fat continuity to
the emulsion spread.

- 5 The lattice of fat crystals in the spread necessarily consists of solid saturated fat. For reasons of healthy nutrition and economy of raw materials the content of such saturated fat preferably is restricted to the minimal functional amount. The present invention has shown to have such a beneficial influence on nucleation and eventually on the strength of the crystal lattice that even at relatively low solid fat levels a spread product with a good consistency, texture and stability is obtained.
- 15 Consequently the present invention provides a process for the preparation of a fat continuous emulsion spread comprising the steps of
 - a. mixing a liquefied fat phase comprising essentially no solid fat and an aqueous phase so that a water-in-oil
- 20 emulsion results,

30

35

- b. cooling and working the emulsion to cause partial crystallisation of the fat until a desired consistency and texture is obtained,
- characterised in that in the step comprising fat crystallisation the emulsion is exposed to ultrasound in
- 25 crystallisation the emulsion is exposed to ultrasound in the absence of transient cavitation.

Alternatively, the present invention further provides a process for preparing a W/O-emulsion spread comprising the steps of

- a. preparing an O/W-emulsion having a continuous aqueous phase containing dispersed fully liquefied fat, cooling the emulsion to cause partial crystallisation of the fat, so obtaining a dispersion of partially crystallized fat in a continuous aqueous phase,
- inverting the O/W-emulsion into a fat continuous emulsion in the usual way,

ring and cooling th

15

5 c. working and cooling the fat continuous emulsion to cause further partial crystallisation of the fat until a desired consistency and texture is obtained, characterized in that in the step comprising fat crystallisation the emulsion is exposed to ultrasound in the absence of transient cavitation.

For present spread manufacturing processes the invention is most beneficial for the preparation of emulsion spreads which are fat continuous. Proper fat crystallisation plays, however, also a role in the preparation of spreads in which fat is the dispersed phase and where sonocrystallisation according to the present invention also is a most beneficial tool.

- A since long acknowledged benefit of sonocrystallisation is its potential influence on the habitus of the crystallized fat. The formation of one fat polymorph may be promoted over another one. Since some polymorphs possess preferred properties, sonocrystallisation provides a tool for
- improving the properties of the resulting fat and indirectly for improving the properties of food products containing those triglyceride fats.

It should be noted that the invented sonication treatment
is a new tool for fat modification that creates the chance
but not the guarantee of improved nucleation or of the
formation of a SE enhancing crystal morphology.

Processes, ingredients and equipment for fat fractionation and for the preparation of said emulsion spreads, the fat continuous as well as the water continuous ones, are well known by the person skilled in the art and can be found with all details in various textbooks such as K.A.

5 Alexandersen, Margarine Processing Plants and Equipment (Vol.4, Bailey's Industrial Oil and Fat Products, Wiley and Sons Inc., New York 1996).

EXAMPLES

10

30

35

Besides a commercial ultrasound probe geared to generate transient cavitation sound, we used for the exposure of the following exemplified samples to ultrasound the experimental device as illustrated by Fig. 2.

- 15 It comprises a vessel 1 comprising an inner perspex jacket 2 and an outer perspex jacket 3. The vessel 1 is generally cylindrical and closed at both ends. A thermocouple arrangement 4 projects into the body of vessel 1 through one of the ends. The thermocouple is combined with a 20 hydrophone arrangement to monitor the emitted ultrasound.
- At the other end of vessel 1 cooling/heating coils and also a blade stirrer project into the body of the vessel.

 For generating ultrasound two circular transducers 5 and 6 are located circumferentially around the periphery of the inner perspex jacket 2. These are held in place by alignment rings 7, 8, 9 and 10.

The ultrasound is generated and controlled by readily available standard equipment. It adjusts the frequency and intensity of the ultrasound as appropriate.

The installed transducer is capable of operating both below and above the cavitation intensity threshold. The cell is further provided with means for controlling the temperature of the sample and for delivering the sound energy either continuously of pulse-wise.

While monitoring the hydrophone the frequency of the ultrasound in the device of Fig. 2 is adjusted such that a

suitable resonant ultrasound frequency is found and maintained. Particularly the 10-11 kHz region is suited.

Example 1

10

15

20

This example and the next one are meant to compare sonocrystallisation of triglyceride oil samples with and without transient cavitation and to show that cavitation induced sonochemistry is actually related to the occurrence of off-flavours.

The test uses ultrasound generated by a common commercial Branson™ probe. Like the majority of industrial ultrasonic probes it is meant to produce high intensity fields at relatively low frequencies so that the believed beneficial cavitational bubble clouds are generated in the exposed material. A high intensity sound energy burst is emitted at a frequency of 20 kHz.

Refined sunflower oil was exposed to ultrasound using the 25 lowest power output of 30 W of this ultrasound device, the exposure time varying from 1 to 10 minutes. The sonication cell was maintained at 20°C and samples were stirred at a constant rate.

For detecting the expected sonochemical changes mass 30 spectroscopy was used as the instrumental method, supplemented with sensoric sniffing of the samples (see also example 2).

Fig 3 shows the mass spectrum for both the sunflower oil 35 sample sonicated under cavitation conditions and a comparison non-sonicated sample.

Several of the ultrasound-induced mass spectrum peaks of the sonicated sample were recognized as related to known

off-flavour compounds by the scientists skilled in testing oils on deterioration. The oil deterioration was further confirmed by a sensory panel test (see also example 2).

When investigating the deterioration effects of

sonocrystallisation on triglyceride oil as a function of
the sound intensity, frequency, temperature, presence of
oxygen, addition of water, metal-ion contamination and
storage conditions, it has appeared that the major cause
for off-flavour formation was the occurrence of cavitation
during sonication.

Example 2

The present example compares triglyceride

20 sonocrystallisation with and without transient cavitation and shows the findings of a sensory panel on the formation of off-flavour. A bland refined sunflower oil was divided in four samples A, B, C and D. Sample B was the only one not sonicated .

- 25 Each of the samples A, C and D was at 20°C subjected in a sonication cell to the sonication conditions A, C and D:
- A. The oil was sonicated for only 3 minutes using the common Branson™ probe which is meant to generate transient
 30 cavitation.
 - C. The oil was sonicated for one hour in the device of Fig.2 at a sound intensity near the cavitation threshold. Only occasionally transient cavitation occurred.

D. The oil was sonicated for one hour in the device of Fig.2 at a sound intensity for which not any transient

35

5 cavitation could be observed.

The occurrence of transient cavitation was monitored using a hydrophone.

Each of the three sonicated samples was submitted to a sensoric panel (n=22) for flavour assessment. Each panel member received successively each of the three samples accompagnied by the untreated sunflower sample B without knowing which of both was the untreated sample. Each panel member had to answer the question whether (s)he could perceive a flavour/taste difference between both samples. Table I summarizes the panel response.

TABLE I

20

Sonicated Oil Panel	Assessme	ent (n=2:	2)
Sunflower Oil (SF) Sample	No differ ence	Hesita tion or slight differ ence	Clear differ ence
Bland SF not sonicated (comparison)			
SF 3 min sonicated with transient cavitation (1)	0	0	22 (3)
SF 1 hour sonicated with occasionally occurring transient cavitation (2)	17	5	0
SF 1 hour sonicated without any transient cavitation (2)	19	3	0

- (1) Branson™ sonication probe used
- (2) Device of figure 2 used
- (3) Flavour characterized as: metal, fishy, off

25

The experiment made clear that an unsaturated oil as sunflower seed oil can be subjected to an ultrasound treatment without substantial damage to flavour and taste.

PCT/EP01/08022 WO 02/05921 21

Example 3

10 Sonocrystallisation in the absence of transient cavitation

This example demonstrates that in contrast to general belief also in the absence of transient cavitation sononucleation can be demonstrated.

15

30

5

This time the chosen sound frequencies are in the MHz area which are common for medical applications (see Fig.1).

A blend of 12% hydrogenated palm oil dissolved in 88% sunflower oil of 60°C was divided in two samples (a) and 20 (b). Both were poured into an ultrasound cell according to figure 2 and continuously cooled. From 45°C downwards sample (b) was exposed to continuous 1.5 MHz ultrasound at an intensity of 1.5 W/cm². Sample (a) was cooled in the same 25 way but without sonication.

Fig. 4 shows the temperature graphs of both samples during the cooling period.

After 50 minutes of cooling a sudden temperature rise in sample (b) occurred which is ascribed to the release of heat of crystallisation at the onset of fat crystallisation. Ten minutes after the occurrence of that peak the sample became turbid of fat crystals. At that time sample (a) did not yet show any fat crystallisation.

35 The sonication was monitored with a hydrophone which only had shown (Fig. 5) the single peak 1.5 MHz peak of the ultrasound sound frequency which means that transient cavitation had been absent.

PCT/EP01/08022 WO 02/05921

The MI value being 0.09, is far below the transient cavitation threshold of 0.7, which further confirms the absence of cavitation.

Fig. 6 shows in contrast with Fig. 5 a hydrophone view of high intensity ultrasound sonication where the cavitation threshold had been exceeded. That transient cavitation prevails is apparent from the various of (sub) harmonics peaks.

15 Example 4

10

Fat fractionation with the use of ultrasound

Butterfat (AMF, anhydrous milk fat) was obtained from Corman. The fat was melted and, while stirring (50 rpm), 20 was kept at 65°C for at least 1 hour to ensure thorough melting and to avoid so-called "memory effect". Subsequently it was cooled to 40°C in one hour and then to 33°C at 5°C/h. Only after the final temperature was reached 25 sonication without transient cavitation was applied on the supersaturated sample for 15 minutes (65 kHz, 30 dB). Then the sample was kept overnight at 33°C without stirring to let the crystallisation process proceed to completion. The crystals were vacuum filtered (factor 3 ceramic filter) for 30 minutes and then pressed. The pressure was gradually 30 increased to 12 bar over a period of 60 minutes.

The anhydrous milk fat (AMF) commonly is dry fractionated with a separation efficiency of 60%. Table II shows that 35 use of ultrasound gave a SE of 80%, a spectacular improvement over the control. The hydrophone at no time showed the occurrence of transient cavitation. The flavour quality of the crystallised fat was not affected.

23

TABLE II

Sample	Dispersion Solids %	Filtered Solids %	Pressed Solids % (12 bar)
Control 1	8.7	18.7	60.7
Control 2	9.1	22.1	60.8
15 min at 33° C 1	7.1	18.7	78.8
15 min at 33° C 2	8.1	21.0	82.3

- The experiment was repeated with other ultrasonic intensities, but all in the absence of transient cavitation. The intensity optimum for sononucleation appeared to be just below the cavitation threshold.
- 15 Ultrasound caused a dramatic effect on crystal size, shape and distribution. The textures of the final fat fractions appeared to be very different from each other as well as from the non-sonicated control sample. This example proves that kinetics and structure of fat crystals may be greatly affected by exposure to ultrasound even in the absence of transient cavitation.

5

5

15

30

REFERENCES

- 1. Hamm, Trans. IChemE. 74C, 1996, 61.
- 10 2. Kapustin, The effects of ultrasound on the kinetics of crystallisation; Consultants Bureau, New York, 1963.
 - 3. Hem, The effect of ultrasonic vibrations on crystallisation processes; Ultrasonics, October 1967, p. 202.
 - 4. Kallies, Zur gezielten Suspensionserzeugung für die Konfektionierung von Schmelzen; PhD Thesis, Bremen 1995.
- 5. Leighton T.G., The principles of cavitation; chapter 9 in "Ultrasound in Food Processing", (Povey & Mason, eds.) Blackie Academic & Professional, London (1998).
- 6. Crum L.A., Sonoluminescence, sonochemistry and sonophysics, J. Acoust. Soc. Am. **95**(1), 1994, 559.
 - 7. Apfel R.E., Holland C.K., Gauging the likelihood of cavitation from short-pulse low-duty cycle diagnostic ultrasound, Ultrasound Med.Biol. 17, 1991, 179.

8. Internetsite http://www.aeat.com/sono/, particularly "How does it work" under "Frequently Asked Questions".

Printed on 21 March 2001.

9. Gélat P., Hodnett M, Zeqiri B., Establishing a reference ultrasonic cleaning vessel: part 1: Supporting infrastructure and early measurements, National Physical Laboratory Report CMAM 55, September 2000.

PCT/EP01/08022 WO 02/05921

CLAIMS

- 1. Process for the crystallisation of a solid phase from a liquid which liquid is subjected to ultrasound, characterized in that the exposure to ultrasound is at such conditions that transient cavitation is absent and for a time and at a frequency sufficient to induce nucleation of stable crystals in the liquid.
- 2. Process according to claim 1, characterized in that ultrasound intensity is adjusted at such low level that a hydrophone when detecting sound radiated from the ultrasound exposed liquid shows a view which is free from broad-band cavitation noise signals pattern.
- 3. Process according to claims 1 or 2, characterized in that ultrasound intensity is at such low level that a hydrophone when detecting sound radiated from the ultrasound exposed liquid shows a view with a main signal corresponding with the main radiation frequency and a further signal corresponding with the first subharmonic frequency where the intensity peaks ratio of the further signal and the main signal is < 0.5.
- 4. Process according to any one of claims 1 to 3, characterized in that ultrasound intensity is adjusted at such low level that a hydrophone when detecting sound radiated from the ultrasound exposed liquid shows a view with a single signal corresponding with the main radiation frequency without

substantially showing additional signals corresponding with subharmonics frequencies.

5. Process according to any one of claims 1 to 4 , characterized in that an ultrasound generating system is used of which the mechanical index (MI) is < 0.7, where</p>

$$MI = (p_{NEG}[MPa]) / \sqrt{f[MHz]}$$

and where $p_{NEG}[MPa]$ is the amplitude of the acoustic pressure of the ultrasound field (the pressure amplitude) and f[MHz] is the ultrasound frequency.

- 6. Process according to anyone of the previous claims, characterized in that the liquid is a triglyceride oil of vegetable or animal origin or a mixture of both.
- 7. Process according to claim 6, characterized in that the triglyceride oil of vegetable origin is selected from the group consisting of rapeseed oil, palmkernel oil, sunflower seed oil, groundnut oil, mustard oil, safflower oil, sesame oil, corn oil, soybean oil, cottonseed oil, linseed oil and olive oil.
- 8. Process according to claim 6, characterized in that the triglyceride oil is a liquefied dairy fat.
- 9. Process for fractionating a triglyceride oil, which comprises the steps of:
 - a. when the fat is solid, heating the triglyceride oil until no substantial amount of solid triglyceride is present in the oil;
 - b. allowing the triglyceride oil to cool and to crystallize

resulting in a solid stearin fraction and a liquid olein fraction;

c. recovering the stearin fraction by separating it from the olein fraction,

characterised in that during step b. the oil is exposed to ultrasound in the absence of transient cavitation.

- 10. Process for the preparation of a fat continuous emulsion spread comprising the steps of
 - a. mixing a liquefied fat phase comprising essentially no solid fat and an aqueous phase so that a water-in-oil emulsion results;
 - b. cooling and working the emulsion to cause partial crystallisation of the fat until a desired consistency and texture is obtained,

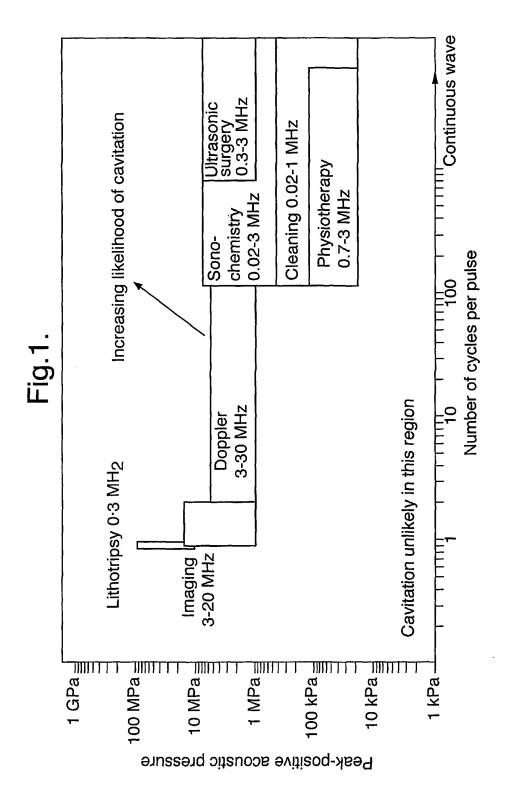
characterised in that in the step comprising fat crystallisation the emulsion is exposed to ultrasound in the absence of transient cavitation.

- 11. Process for preparing a W/O-emulsion spread comprising the steps:
 - a. preparing a O/W-emulsion having a continuous aqueous phase containing dispersed fully liquefied fat cooling the emulsion to cause partial crystallisation of the fat, so obtaining a dispersion of partially crystallized fat in a continuous aqueous phase;
 - b. inverting the O/W-emulsion into a fat continuous emulsion,
 - c. working and cooling the fat continuous emulsion to cause partial crystallisation of the fat until a desired consistency and texture is obtained,

characterized in that in the step comprising fat

crystallisation the emulsion is exposed to ultrasound in the absence of transient cavitation.

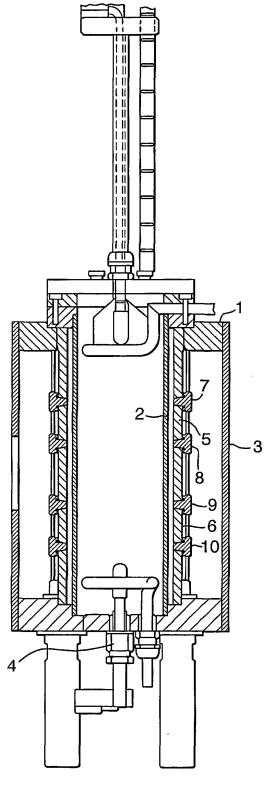
- 12. Process for preparing a O/W-emulsion spread comprising the steps:
 - a. preparing a O/W-emulsion having a continuous aqueous phase and a dispersed fully liquefied fat phase and cooling the emulsion to cause partial crystallisation of the fat, so obtaining a dispersion of partially crystallized fat in a continuous aqueous phase;
 - b. working and cooling the fat continuous emulsion to cause partial crystallisation of the fat until a desired consistency and texture is obtained, characterized in that in the step comprising fat crystallisation the emulsion is exposed to ultrasound in the absence of transient cavitation.



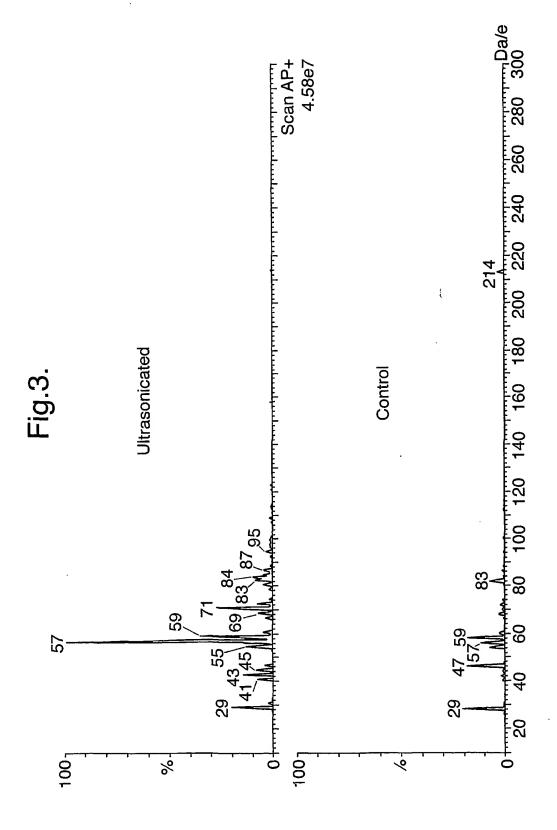
SUBSTITUTE SHEET (RULE 26)

2/6

Fig.2.



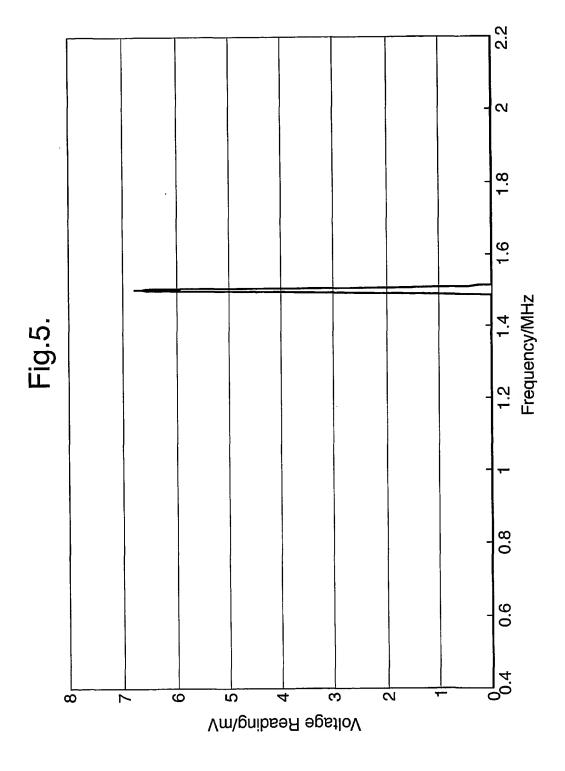
SUBSTITUTE SHEET (RULE 26)

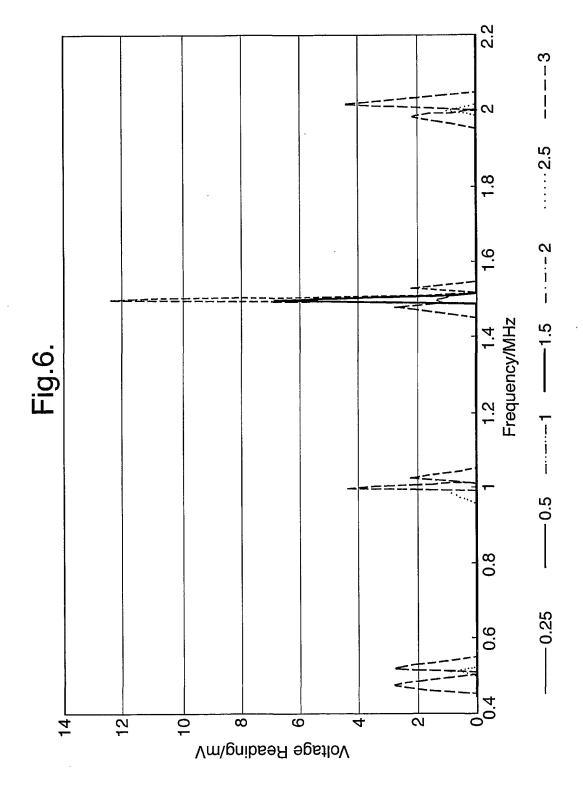


SUBSTITUTE SHEET (RULE 26)

Fig.4. Temperature/°C 30 <u>L</u>

Time/min





SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

Inte al Application No

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 801D9/00 C11B C11B7/00 C11B15/00 A23D7/05 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) BOID CIIB A23D Documentation searched other than minimum documentation to the extent that such documents are included. In the fields searched Exectronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, INSPEC, COMPENDEX C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with Indication, where appropriate, of the relevant passages Relevant to claim No. A EP 0 765 605 A (KRAFT JACOBS SUCHARD R & D 1 - 4INC) 2 April 1997 (1997-04-02) the whole document WO 92 20420 A (ACTON ELIZABETH ; MORRIS A 1 - 4GEORGE JOHN (GB)) 26 November 1992 (1992-11-26) cited in the application the whole document EP 0 619 139 A (ATOMIC ENERGY AUTHORITY 1 UK) 12 October 1994 (1994-10-12) page 2 US 5 209 879 A (REDDING JR BRUCE K) A 11 May 1993 (1993-05-11) column 9, line 46 -column 10, line 44 claims Further documents are listed in the continuation of box C. Х Patent family members are listed in annex. " Special categories of cited documents: *T* tater document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the involved. *A* document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention hling date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is clied to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled *O* document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 25 October 2001 02/11/2001 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tet. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016 Hilt, D

INTERNATIONAL SEARCH REPORT

Internal Application No

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	<u> </u>
Category °	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 594 194 A (DIEFFENBACHER ALBRECHT) 10 June 1986 (1986-06-10) the whole document	7
A	US 4 438 149 A (VERHAGEN LAURENTIUS A M ET AL) 20 March 1984 (1984-03-20) the whole document	8
4	EP 0 613 620 A (DAIRYGOLD TECH LTD) 7 September 1994 (1994-09-07) the whole document	9
	<u></u>	

INTERNATIONAL SEARCH REPORT

tnt nal Application No

				[101721	017 00022
Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 0765605	Α	02-04-1997	EP	0765605 A1	02-04-1997
WO 9220420	A	26-11-1992	AU	1673692 A	30-12-1992
110 3220 120	,,	20 11 1574	DE	69218194 D1	17-04-1997
			DE	69218194 T2	02-10-1997
			EP	0584127 A1	02-03-1994
			WO	9220420 A1	26-11-1992
			JP	6509498 T	27-10-1994
			KR	215017 B1	16-08-1999
ED 0610100	 А	12-10-1994	 DE	69410520 D1	· 02-07-1998
EP 0619139	н	12-10-1994			
			DE	69410520 T2	24-09-1998
			EP	0619139 A1	12-10-1994
			GB	2276567 A ,B	05-10-1994
			JP	7000810 A	06-01-1995
			U\$ 	5395593 A	07-03-1995
US 5209879	Α	11-05-1993	AU	7687291 A	30-10-1991
			CA	2079916 A1	07-10-1991
			CN	1055950 A	06-11-1991
			EP	0525039 A1	03-02-1993
			US	5460756 A	24-10 - 1995
			MO	9115307 A1	17-10-1991
US 4594194	Α	10-06-1986	CH	658163 A5	31-10-1986
			CA	1214064 A1	18-11-1986
			DE	3471495 D1	30-06-1988
			EΡ	0139177 A1	02-05-1985
			GB	2147605 A ,B	15-05-1985
			IN	158233 A1	27-09-1986
			JP	60101197 A	05-06-1985
			PH	22071 A	20-05-1988
			SG	66287 G	04-03-1988
US 4438149		20-03-1984	NL	8101639 A	01-11-1982
			AT	7843 T	15-06-1984
			ΑÜ	546889 B2	26-09-1985
			AU	8216182 A	07-10-1982
			CA	1175284 A1	02-10-1984
			DE	3260240 D1	19-07-1984
			EP	0063389 A1	27-10-1982
			FI	821128 A ,B,	03-10-1982
			FR	2502903 A1	08-10-1982
			IE	53028 B1	11-05-1988
			JP	1467096 C	30-11-1988
			JP	57177650 A	01-11-1982
			JP	62018130 B	21-04-1987
				8202299 A	30-11-1983
EP 0613620	Α	07-09-1994	EP IE	0613620 A2 940189 A2	07-09-1994 07-09-1994
					07 00 1001